



राइट्स लिमिटेड
(भारत सरकार का प्रतिष्ठान)
RITES LIMITED
(Schedule 'A' Enterprise of Govt. of India)

No. RITES/SECY/NSE

Date: November 18, 2022

To: Listing Department, National Stock Exchange of India Limited 'Exchange Plaza', C-1, Block G, Bandra - Kurla Complex, Bandra (E), Mumbai - 400 051	To: Corporate Relationship Department BSE Limited, Rotunda Building, P J Towers, Dalal Street, Fort, Mumbai – 400 001
Scrip Code- RITES	Scrip Code- 541556

Sub: Compliance Certificate for the quarter ended 30th September, 2022 regarding maintenance of Structured Digital Database by the Company

Dear Sir/Madam,

Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 we are enclosing Compliance Certificate regarding maintenance of Structured Digital Database by the company for the quarter ended 30th September, 2022.

This is for your information and record.

Thanking You,

Yours faithfully,
For RITES Limited

JOSHIT RANJAN SIKIDAR
Digitally signed by
JOSHIT RANJAN SIKIDAR
Date: 2022.11.18
17:55:20 +05'30'

Joshit Ranjan Sikidar
Company Secretary & Compliance Officer
Membership No.: A32442

Transforming to GREEN

कॉर्पोरेट कार्यालय: शिखर, प्लॉट नं. 1, सेक्टर-29, गुरुग्राम-122 001 (भारत), **Corporate Office:** Shikhar, Plot No.1, Sector-29, Gurugram-122 001 (INDIA)
पंजीकृत कार्यालय: स्कोप मीनार, लक्ष्मी नगर, दिल्ली-110 092 (भारत), **Registered Office:** SCOPE Minar, Laxmi Nagar, Delhi- 110 092 (INDIA)
दूरभाष (Tel.): (0124) 2571666, फ़ैक्स (Fax): (0124) 2571660, ई.मेल (E-mail) info@rites.com वेबसाइट (Website): www.rites.com
CIN: L74899DL1974GOI007227



COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 30TH SEPTEMBER 2022
[Pursuant to Securities and Exchange Board of India (Prohibition of Trading)
Regulations, 2015]

I Poonam, Partner, Agarwal S. & Associates, Company Secretaries, appointed by RITES Limited, aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that

1. The Company has a Structured Digital Database in place
2. Control exists as to who can access the SDD
3. All the UPSI disseminated in the previous quarter have been captured in the Database
4. The system has captured nature of UPSI alongwith date and time
5. The database has been maintained internally and an audit trail is maintained
6. The database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture One (1) number of events during the quarter ended and has captured One (1) number of the said required events.

I would like to report that the non-compliance(s) was observed in the previous quarter and the remedial action(s) taken alongwith timelines in this regard: **NIL**

For Agarwal S. & Associates,
Company Secretaries,
ICSI Unique Code: P2003DE049100
Peer Review Certificate no. 2725/2022

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by Poonam
Date: 2022.11.18
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CS Poonam
Partner

ACS No.: 37303
COP No: 24827

Date: 18-11-2022
Place: New Delhi
UDIN: A037303D001888011

Our above certificate of even date is subject to following:

1. Maintenance of Structured Digital Database (SDD) is the responsibility of the management of the Company.
2. We have followed the all appropriates to obtain reasonable assurance about the correctness of the contents of the SDD for issuance of above certificate. We believe that the processes and practices, we followed provide a reasonable basis for our opinion.
3. Wherever required, we have obtained the Management representation about the compliance of SDD and happening of events etc.
4. Above certificate is neither an assurance as to future viability of the Company nor of the efficacy or effectiveness with which the management has conducted the affairs of the Company.

For **Agarwal S. & Associates,**
Company Secretaries,
ICSI Unique Code: P2003DE049100
Peer Review Certificate no. 2725/2022

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Digitally signed
by Poonam
Date: 2022.11.18
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CS Poonam
Partner

ACS No.: 37303
COP No: 24827

Date: 18-11-2022
Place: New Delhi